

On List k -Coloring Convex Bipartite Graphs

J. Diaz¹, Ö. Y. Diner^{1,2}, M. Serna¹, O. Serra¹

¹Universidad Politecnica de Catalunya, Barcelona

²Kadir Has University, İstanbul

15 September 2020

- 1 List Coloring
- 2 Subclasses of Chordal Bipartite Graphs
- 3 LI k -COL Restricted to Biconvex Bipartite Graphs
- 4 LI k -COL Restricted to Convex Graphs

COL: Coloring

- Given a graph $G = (V, E)$ and a non-negative integer k .



COL: Coloring

- Given a graph $G = (V, E)$ and a non-negative integer k .



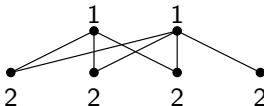
- A (proper) *coloring* of G is a map $c : V \rightarrow \mathbb{N}$ such that $c(u) \neq c(v)$ if u is adjacent to v .

COL: Coloring

- Given a graph $G = (V, E)$ and a non-negative integer k .

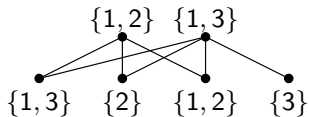


- A (proper) *coloring* of G is a map $c : V \rightarrow \mathbb{N}$ such that $c(u) \neq c(v)$ if u is adjacent to v .
- A k -*coloring* is a map $c : V \rightarrow [k]$.



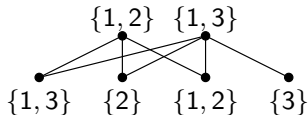
LiCOL: List Coloring

- A list assignment $L : V \rightarrow 2^{\mathbb{N}}$.

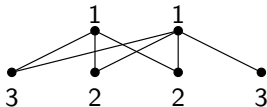


LiCOL: List Coloring

- A list assignment $L : V \rightarrow 2^{\mathbb{N}}$.

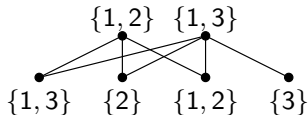


- LIST COLORING (LiCOL) (Erdős 1979, Vizing 1976) asks for the existence of a proper coloring c that obeys L , i.e., $\forall v \in V, c(v) \in L(v)$. If it exists, G is said to be L -colorable.

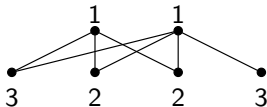


LiCOL: List Coloring

- A list assignment $L : V \rightarrow 2^{\mathbb{N}}$.



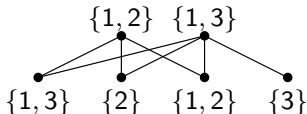
- LIST COLORING (LiCOL) (Erdős 1979, Vizing 1976) asks for the existence of a proper coloring c that obeys L , i.e., $\forall v \in V, c(v) \in L(v)$. If it exists, G is said to be L -colorable.



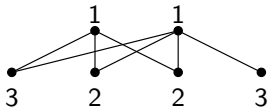
- LIST k -COLORING (Li k -COL), $L(v) \subseteq \{1, 2, \dots, k\}$ for each $v \in V$.

LiCOL: List Coloring

- A list assignment $L : V \rightarrow 2^{\mathbb{N}}$.



- LIST COLORING (LiCOL) (Erdős 1979, Vizing 1976) asks for the existence of a proper coloring c that obeys L , i.e., $\forall v \in V, c(v) \in L(v)$. If it exists, G is said to be L -colorable.



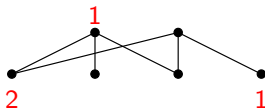
- LIST k -COLORING (Li k -COL), $L(v) \subseteq \{1, 2, \dots, k\}$ for each $v \in V$.
- k -LIST COLORING (k -LiCOL) each list L has size at most k .

PREXT: Pre-Coloring Extension

- A *pre-coloring* previously colors every vertex in W for $W \subseteq V$.

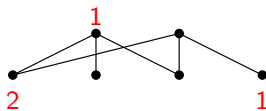
PREXT: Pre-Coloring Extension

- A *pre-coloring* previously colors every vertex in W for $W \subseteq V$.
- PRECOLORING EXTENSION(PREXT) extends this pre-coloring to all of the vertices.



PREXT: Pre-Coloring Extension

- A *pre-coloring* previously colors every vertex in W for $W \subseteq V$.
- PRECOLORING EXTENSION(PREXT) extends this pre-coloring to all of the vertices.



- In k -PRECOLORING EXTENSION (k -PREXT) total number of colors is bounded by k .

LI H -COL: List H -Coloring

- A *graph homomorphism* from G to H is a function $f : V(G) \rightarrow V(H)$ s. t. $f(u)f(v) \in E(H)$ whenever $uv \in E(G)$.

LI H -COL: List H -Coloring

- A *graph homomorphism* from G to H is a function $f : V(G) \rightarrow V(H)$ s. t. $f(u)f(v) \in E(H)$ whenever $uv \in E(G)$.
- For a fixed graph H and for an input G , H -COLORING (H -COL) asks whether there is a G to H homomorphism.

LI H -COL: List H -Coloring

- A *graph homomorphism* from G to H is a function $f : V(G) \rightarrow V(H)$ s. t. $f(u)f(v) \in E(H)$ whenever $uv \in E(G)$.
- For a fixed graph H and for an input G , H -COLORING (H -COL) asks whether there is a G to H homomorphism.
- In LIST H -COLORING (LI H -COL), each vertex of the input graph G is associated with a list of vertices of H . The question is whether a G to H homomorphism exists that maps each vertex to a member of its list.

LI H -COL: List H -Coloring

- A *graph homomorphism* from G to H is a function $f : V(G) \rightarrow V(H)$ s. t. $f(u)f(v) \in E(H)$ whenever $uv \in E(G)$.
- For a fixed graph H and for an input G , H -COLORING (H -COL) asks whether there is a G to H homomorphism.
- In LIST H -COLORING (LI H -COL), each vertex of the input graph G is associated with a list of vertices of H . The question is whether a G to H homomorphism exists that maps each vertex to a member of its list.
- LI H -COL is a generalization of LI k -COL.

Relationships Between Coloring and its Variants

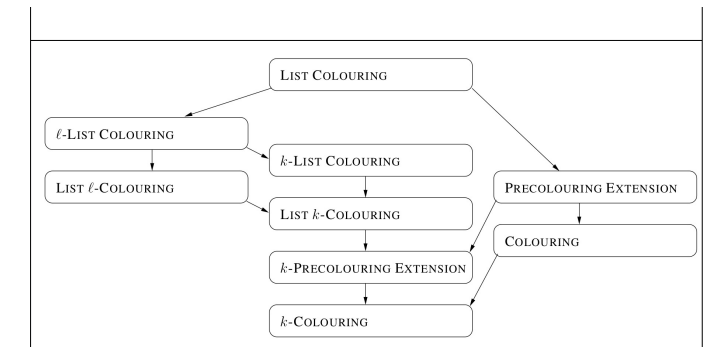
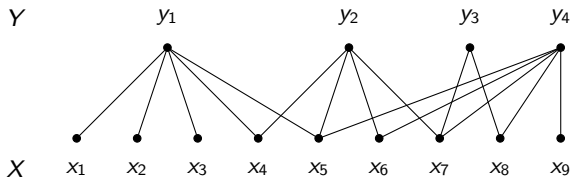


Figure from Golovach, Johnson, Paulusma and Song 2014.

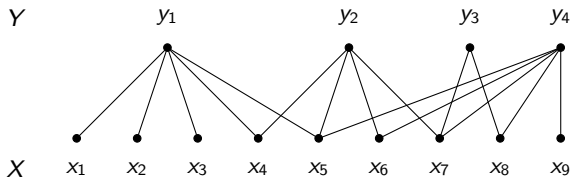
Bipartite Graphs: Adjacency and Enclosure Properties

- Given a bipartite graph $G = (X \cup Y, E)$, X and Y form a bipartition of the vertex set into stable sets.



Bipartite Graphs: Adjacency and Enclosure Properties

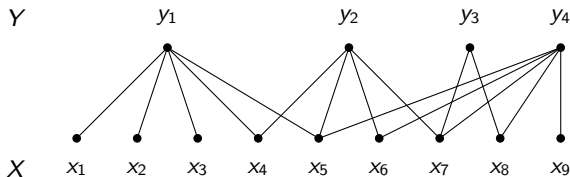
- Given a bipartite graph $G = (X \cup Y, E)$, X and Y form a bipartition of the vertex set into stable sets.



- An ordering for X has the *adjacency property* or the ordering is *convex w. r. t. X* if, for each vertex $v \in Y$, $N(v)$ consists of vertices which are consecutive in the ordering of X .

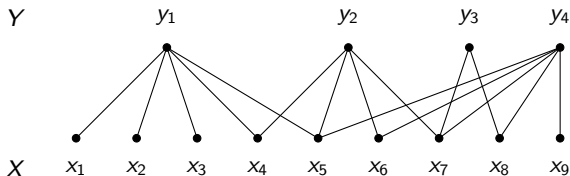
Bipartite Graphs: Adjacency and Enclosure Properties

- Given a bipartite graph $G = (X \cup Y, E)$, X and Y form a bipartition of the vertex set into stable sets.

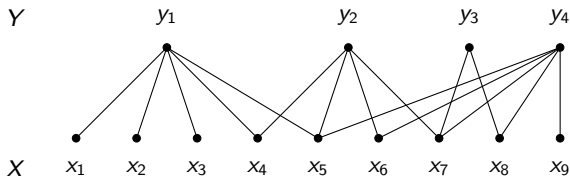


- An ordering for X has the *adjacency property* or the ordering is *convex w. r. t. X* if, for each vertex $v \in Y$, $N(v)$ consists of vertices which are consecutive in the ordering of X .
- An ordering for X has the *enclosure property* if for every pair of vertices $u, v \in Y$ s. t. $N(u) \subseteq N(v)$, the vertices in $N(v) \setminus N(u)$ occur consecutively in the ordering of X .

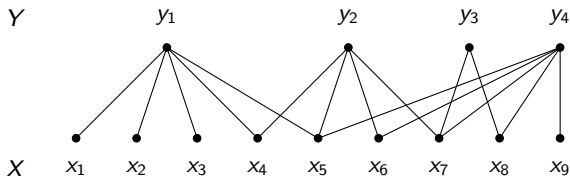
- *Chordal bipartite graphs* are bipartite graphs s. t. every cycle C_n of length at least 6 has a chord.



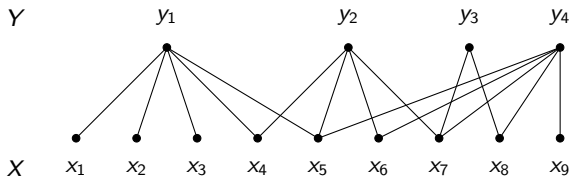
- *Chordal bipartite graphs* are bipartite graphs s. t. every cycle C_n of length at least 6 has a chord.
- *Convex bipartite graphs* have the adjacency property on one of the partite sets.



- *Chordal bipartite graphs* are bipartite graphs s. t. every cycle C_n of length at least 6 has a chord.
- *Convex bipartite graphs* have the adjacency property on one of the partite sets.
- *Biconvex bipartite graphs* have the adjacency property on both partite sets X and Y .



- *Chordal bipartite graphs* are bipartite graphs s. t. every cycle C_n of length at least 6 has a chord.
- *Convex bipartite graphs* have the adjacency property on one of the partite sets.
- *Biconvex bipartite graphs* have the adjacency property on both partite sets X and Y .
- *Bipartite permutation graphs* are biconvex bipartite graphs in which one of the partite sets obeys both the adjacency and the enclosure properties.



Computational Complexity

- COL, LICOL and PREXT are NP-C (Karp 1972, Garey and Johnson 1979)

Computational Complexity

- COL, LICOL and PREXT are NP-C (Karp 1972, Garey and Johnson 1979)
- When k is fixed: k -COL, k -LICOL, LI k -COL and k -PREXT are NP-C when $k \geq 3$ (Lovasz 1973); and they are PTIME when $k \leq 2$ (Erdos 1979, Vizing 1976).

Computational Complexity

- COL, LICOL and PREXT are NP-C (Karp 1972, Garey and Johnson 1979)
- When k is fixed: k -COL, k -LICOL, LI k -COL and k -PREXT are NP-C when $k \geq 3$ (Lovasz 1973); and they are PTIME when $k \leq 2$ (Erdos 1979, Vizing 1976).
- Perfect Graphs COL PTIME (Grostchel, Lovasz and Schrijver 1984).

Computational Complexity

- COL, LICOL and PREXT are NP-C (Karp 1972, Garey and Johnson 1979)
- When k is fixed: k -COL, k -LICOL, LI k -COL and k -PREXT are NP-C when $k \geq 3$ (Lovasz 1973); and they are PTIME when $k \leq 2$ (Erdos 1979, Vizing 1976).
- Perfect Graphs COL PTIME (Grostchel, Lovasz and Schrijver 1984).
- Subclasses of Perfect Graphs: LICOL NP-C for split graphs, bipartite graphs (Kubale 1992) and interval graphs (Biro 1992).

Computational Complexity

- COL, LICOL and PREXT are NP-C (Karp 1972, Garey and Johnson 1979)
- When k is fixed: k -COL, k -LICOL, LI k -COL and k -PREXT are NP-C when $k \geq 3$ (Lovasz 1973); and they are PTIME when $k \leq 2$ (Erdos 1979, Vizing 1976).
- Perfect Graphs COL PTIME (Grostchel, Lovasz and Schrijver 1984).
- Subclasses of Perfect Graphs: LICOL NP-C for split graphs, bipartite graphs (Kubale 1992) and interval graphs (Biro 1992).
- Subclasses of Chordal Bipartite Graphs: LI 4-COL NP-C for those that are P_8 -free and 4-PREXT is NP-C for P_{10} -free (Huang, Johnson and Paulusma 2015).

Computational Complexity

- COL, LICOL and PREXT are NP-C (Karp 1972, Garey and Johnson 1979)
- When k is fixed: k -COL, k -LICOL, LI k -COL and k -PREXT are NP-C when $k \geq 3$ (Lovasz 1973); and they are PTIME when $k \leq 2$ (Erdos 1979, Vizing 1976).
- Perfect Graphs COL PTIME (Grostchel, Lovasz and Schrijver 1984).
- Subclasses of Perfect Graphs: LICOL NP-C for split graphs, bipartite graphs (Kubale 1992) and interval graphs (Biro 1992).
- Subclasses of Chordal Bipartite Graphs: LI 4-COL NP-C for those that are P_8 -free and 4-PREXT is NP-C for P_{10} -free (Huang, Johnson and Paulusma 2015).
- LICOL PTIME for trees, complete graphs and graphs of bounded treewidth (Jansen and Scheffler 1997).

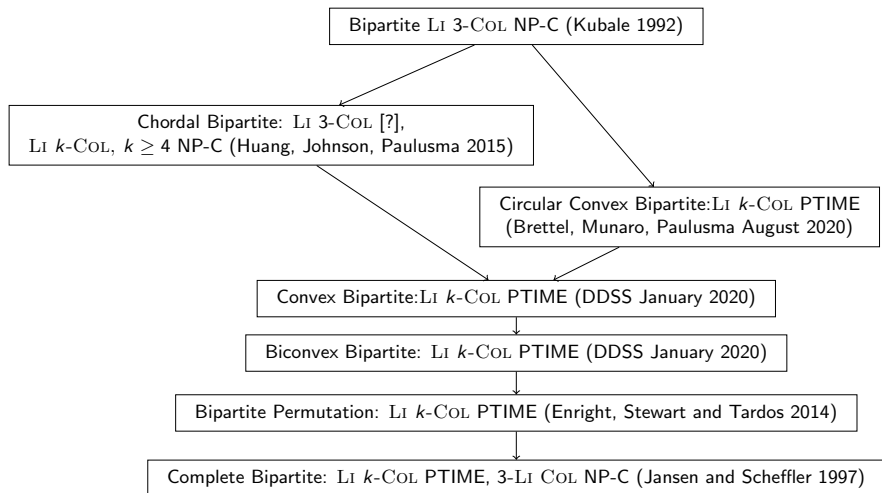
Computational Complexity

- COL, LICOL and PREXT are NP-C (Karp 1972, Garey and Johnson 1979)
- When k is fixed: k -COL, k -LICOL, LI k -COL and k -PREXT are NP-C when $k \geq 3$ (Lovasz 1973); and they are PTIME when $k \leq 2$ (Erdos 1979, Vizing 1976).
- Perfect Graphs COL PTIME (Grostchel, Lovasz and Schrijver 1984).
- Subclasses of Perfect Graphs: LICOL NP-C for split graphs, bipartite graphs (Kubale 1992) and interval graphs (Biro 1992).
- Subclasses of Chordal Bipartite Graphs: LI 4-COL NP-C for those that are P_8 -free and 4-PREXT is NP-C for P_{10} -free (Huang, Johnson and Paulusma 2015).
- LICOL PTIME for trees, complete graphs and graphs of bounded treewidth (Jansen and Scheffler 1997).
- The complexities of H -COL (Hell and Nešetřil, 1994) and LI H -COL (Feder, Hell, Huang 1999) for arbitrary input graphs are completely characterized in terms of the structure of H .

Computational Complexity

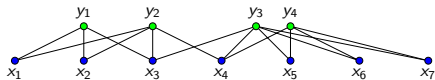
- COL, LICOL and PREXT are NP-C (Karp 1972, Garey and Johnson 1979)
- When k is fixed: k -COL, k -LICOL, LI k -COL and k -PREXT are NP-C when $k \geq 3$ (Lovasz 1973); and they are PTIME when $k \leq 2$ (Erdos 1979, Vizing 1976).
- Perfect Graphs COL PTIME (Grostchel, Lovasz and Schrijver 1984).
- Subclasses of Perfect Graphs: LICOL NP-C for split graphs, bipartite graphs (Kubale 1992) and interval graphs (Biro 1992).
- Subclasses of Chordal Bipartite Graphs: LI 4-COL NP-C for those that are P_8 -free and 4-PREXT is NP-C for P_{10} -free (Huang, Johnson and Paulusma 2015).
- LICOL PTIME for trees, complete graphs and graphs of bounded treewidth (Jansen and Scheffler 1997).
- The complexities of H -COL (Hell and Nešetřil, 1994) and LI H -COL (Feder, Hell, Huang 1999) for arbitrary input graphs are completely characterized in terms of the structure of H .
- Surveys: Tuza 1997, Paulusma 2016.

Chart



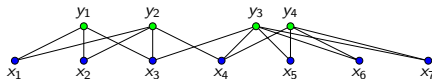
Multi Chain Ordering

- A *chain graph* is a bipartite graph that contains no induced $2K_2$ (Yannakakis 1982).

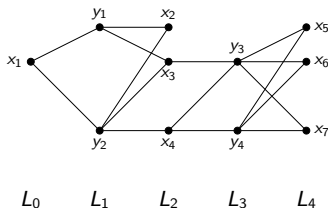


Multi Chain Ordering

- A *chain graph* is a bipartite graph that contains no induced $2K_2$ (Yannakakis 1982).

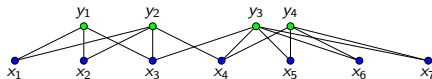


- The *distance layers* of a connected graph $G = (V, E)$ from a vertex v_0 are L_0, L_1, \dots, L_z , where $L_0 = \{v_0\}$ and, for $i > 0$, L_i consists of the vertices at distance i from v_0 and z is the largest integer for which this set is non-empty.

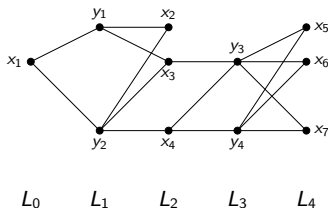


Multi Chain Ordering

- A *chain graph* is a bipartite graph that contains no induced $2K_2$ (Yannakakis 1982).



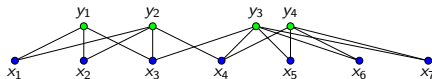
- The *distance layers* of a connected graph $G = (V, E)$ from a vertex v_0 are L_0, L_1, \dots, L_z , where $L_0 = \{v_0\}$ and, for $i > 0$, L_i consists of the vertices at distance i from v_0 and z is the largest integer for which this set is non-empty.



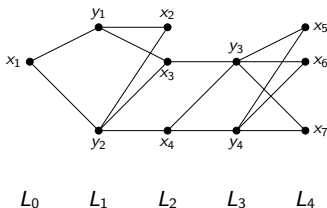
- These layers form a *multi-chain ordering* of G if, for every two consecutive layers L_i and L_{i+1} , the edges connecting them form a chain graph (not necessarily the layers themselves).

Multi Chain Ordering

- A *chain graph* is a bipartite graph that contains no induced $2K_2$ (Yannakakis 1982).



- The *distance layers* of a connected graph $G = (V, E)$ from a vertex v_0 are L_0, L_1, \dots, L_z , where $L_0 = \{v_0\}$ and, for $i > 0$, L_i consists of the vertices at distance i from v_0 and z is the largest integer for which this set is non-empty.



- These layers form a *multi-chain ordering* of G if, for every two consecutive layers L_i and L_{i+1} , the edges connecting them form a chain graph (not necessarily the layers themselves).
- Chain graphs are a proper subclass of convex bipartite graphs.

Theorem (Enright, Stewart and Tardos 2014)

- For a fixed graph H , LI H -COL is solvable in polynomial time for G s. t. every connected induced subgraph of G admits a multichain ordering.
- All connected bipartite permutation graphs and interval graphs admit multichain orderings.

Theorem (Enright, Stewart and Tardos 2014)

- For a fixed graph H , LI H -COL is solvable in polynomial time for G s. t. every connected induced subgraph of G admits a multichain ordering.
- All connected bipartite permutation graphs and interval graphs admit multichain orderings.

Lemma (DDSS, 2020)

If G is a biconvex graph, then G does not contain $\text{subd}(K_{1,3})$ as an induced subgraph.

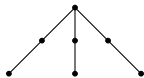


Theorem (Enright, Stewart and Tardos 2014)

- For a fixed graph H , LI H -COL is solvable in polynomial time for G s. t. every connected induced subgraph of G admits a multichain ordering.
- All connected bipartite permutation graphs and interval graphs admit multichain orderings.

Lemma (DDSS, 2020)

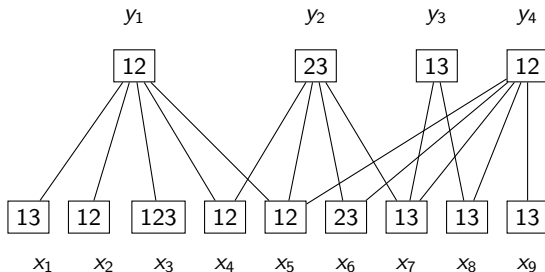
If G is a biconvex graph, then G does not contain $\text{subd}(K_{1,3})$ as an induced subgraph.



Theorem (DDSS, 2020)

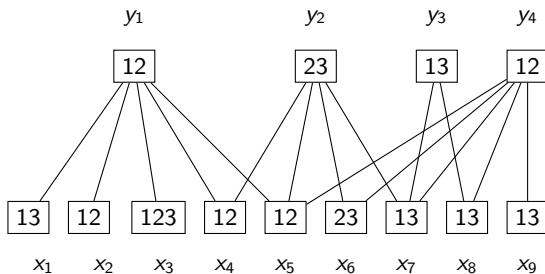
- Every connected biconvex graph admits a multichain ordering.
- For any H , LI H -COL is solvable in polynomial time when restricted to biconvex graphs.
- LI k -COL and k -PREXT are solvable in polynomial time when restricted to biconvex graphs.

Color Algorithm



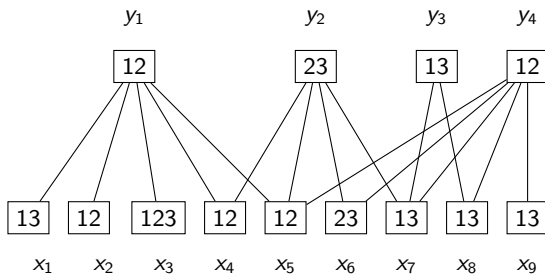
- Let $G = (X \cup Y, E)$ be a connected bipartite graph that is convex w.r.t. $X = \{x_1, \dots, x_n\}$, thus for each $y \in Y$ there are two positive integers $a_y \leq b_y$ s. t. $N(y) = \{x_i \mid a_y \leq i \leq b_y\}$.

Color Algorithm



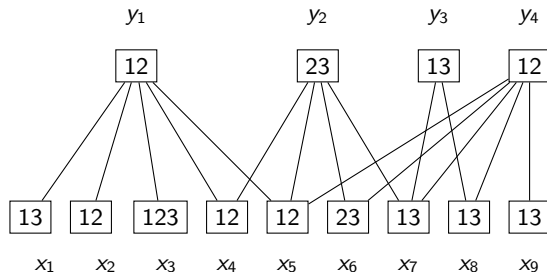
- Let $G = (X \cup Y, E)$ be a connected bipartite graph that is convex w.r.t. $X = \{x_1, \dots, x_n\}$, thus for each $y \in Y$ there are two positive integers $a_y \leq b_y$ s. t. $N(y) = \{x_i \mid a_y \leq i \leq b_y\}$.
- Define $A := \{a_y \mid y \in Y\}$ and $B := \{b_y \mid y \in Y\}$.

Color Algorithm



- Define $A := \{a_y \mid y \in Y\}$ and $B := \{b_y \mid y \in Y\}$.
 $A = \{1, 4, 5, 7\}$ and $B = \{5, 7, 8, 9\}$.

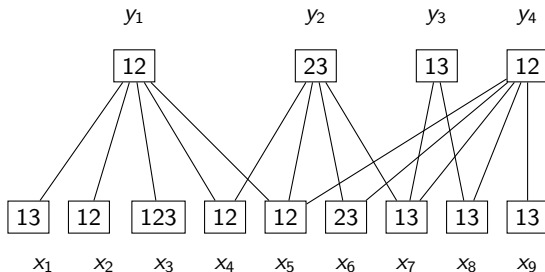
Color Algorithm



$A = \{1, 4, 5, 7\}$ and $B = \{5, 7, 8, 9\}$.

- Assume that $B = \{b_1, \dots, b_\beta\}$ are sorted so that $b_1 < b_2 < \dots < b_\beta$. By the connectivity of G , $b_\beta = n$.

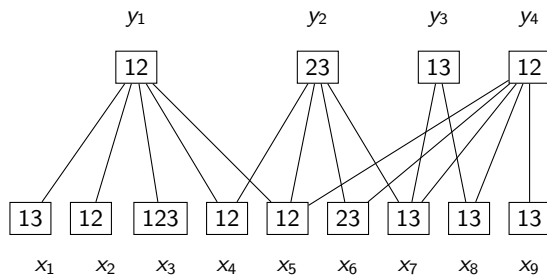
Color Algorithm



$A = \{1, 4, 5, 7\}$ and $B = \{5, 7, 8, 9\}$.

- For each $1 \leq j \leq \beta$, let $X_j = \{x_i \in X \mid i \leq b_j\}$, $Y_j = \{y \in Y \mid b_y \leq b_j\}$, and $Z_j = \{y \in Y \mid a_y \leq b_j < b_{y+1}\}$.

Color Algorithm

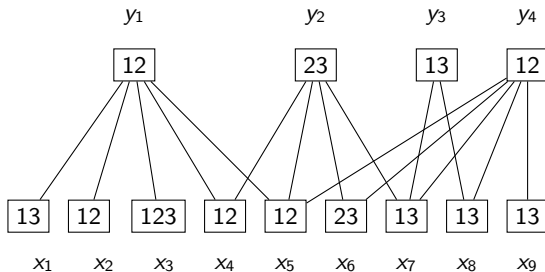


$A = \{1, 4, 5, 7\}$ and $B = \{5, 7, 8, 9\}$.

• For each $1 \leq j \leq \beta$, let $X_j = \{x_i \in X \mid i \leq b_j\}$, $Y_j = \{y \in Y \mid b_y \leq b_j\}$, and $Z_j = \{y \in Y \mid a_y \leq b_j < b_{j+1}\}$.

$b_2 = 7$, $X_2 = \{x_1, x_2, \dots, x_7\}$, $Y_2 = \{y_1, y_2\}$ and $Z_2 = \{y_3, y_4\}$.

Color Algorithm

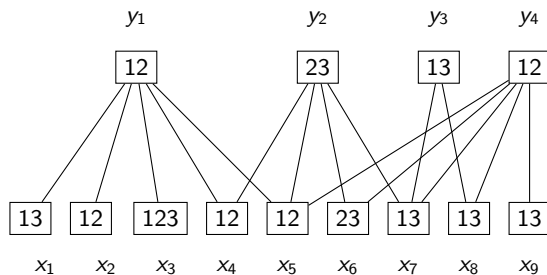


$A = \{1, 4, 5, 7\}$ and $B = \{5, 7, 8, 9\}$.

$b_2 = 7, X_2 = \{x_1, x_2, \dots, x_7\}, Y_2 = \{y_1, y_2\}$ and $Z_2 = \{y_3, y_4\}$.

- Z_j contains vertices in Y whose neighborhood starts before or at b_j and ends after b_j .

Color Algorithm

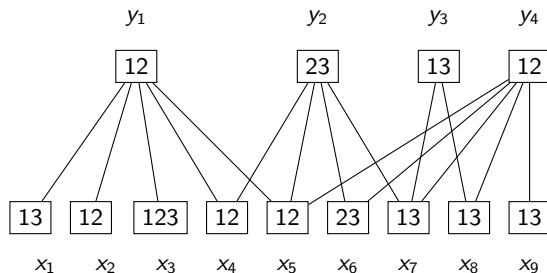


$A = \{1, 4, 5, 7\}$ and $B = \{5, 7, 8, 9\}$.

$b_2 = 7, X_2 = \{x_1, x_2, \dots, x_7\}, Y_2 = \{y_1, y_2\}$ and $Z_2 = \{y_3, y_4\}$.

- Z_j contains vertices in Y whose neighborhood starts before or at b_j and ends after b_j .
- Let $G_j := G[X_j \cup Y_j]$, $b_0 := 0$ and $G_0 := \emptyset$. Observe that $G_\beta = G$, $Z_\beta = \emptyset$

Color Algorithm

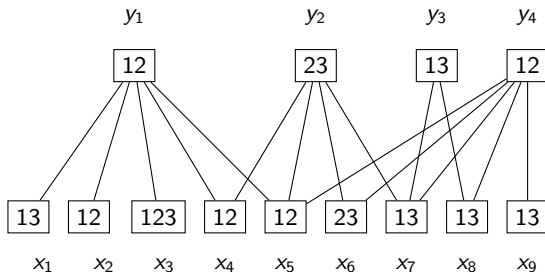


$A = \{1, 4, 5, 7\}$ and $B = \{5, 7, 8, 9\}$.

$b_2 = 7, X_2 = \{x_1, x_2, \dots, x_7\}, Y_2 = \{y_1, y_2\}$ and $Z_2 = \{y_3, y_4\}$.

- Let $G_j := G[X_j \cup Y_j]$, $b_0 := 0$ and $G_0 := \emptyset$. Observe that $G_\beta = G$, $Z_\beta = \emptyset$
- Fix j , $1 \leq j \leq \beta$, define $A(j) = \{a_y \mid y \in Z_j\} \cup \{b_j\}$ and order it increasingly $\{a_{1,j}, \dots, a_{\alpha_j,j} = b_j\}$.

Color Algorithm



$A = \{1, 4, 5, 7\}$ and $B = \{5, 7, 8, 9\}$.

$b_2 = 7, X_2 = \{x_1, x_2, \dots, x_7\}, Y_2 = \{y_1, y_2\}$ and $Z_2 = \{y_3, y_4\}$.

- Fix $j, 1 \leq j \leq \beta$, define $A(j) = \{a_y \mid y \in Z_j\} \cup \{b_j\}$ and order it increasingly $\{a_{1,j}, \dots, a_{\alpha_j,j} = b_j\}$.

- For each $1 \leq i \leq \alpha_j$ and $S \subsetneq [k]$, $T_j(i, S)$ will hold value TRUE whenever there is a valid list coloring of G_j s. t. it uses no color in S for the set $X_i^j = \{x_\ell \mid a_{i,j} \leq \ell < a_{i+1,j}\}$.

What does the color algorithm do?

The Color Algorithm

- In going from $j - 1$ to j , compute the values for the $x \in X_j$ that were not in X_{j-1} combining this information with the relevant information computed in the previous step.
- Next, incorporate the restriction from $y \in Y_j$ that were not in Y_{j-1} .
- Finally, rearrange the information to keep only the values for the index in $A(j)$.

What does the color algorithm do?

The Color Algorithm

- In going from $j - 1$ to j , compute the values for the $x \in X_j$ that were not in X_{j-1} combining this information with the relevant information computed in the previous step.
- Next, incorporate the restriction from $y \in Y_j$ that were not in Y_{j-1} .
- Finally, rearrange the information to keep only the values for the index in $A(j)$.

What does the color algorithm do?

The Color Algorithm

- In going from $j - 1$ to j , compute the values for the $x \in X_j$ that were not in X_{j-1} combining this information with the relevant information computed in the previous step.
- Next, incorporate the restriction from $y \in Y_j$ that were not in Y_{j-1} .
- Finally, rearrange the information to keep only the values for the index in $A(j)$.

Lemma (DDSS, 2020)

Let $G = (X \cup Y, E)$ be a connected convex bipartite graph, L be a color assignment for G . There is an L -coloring of G if and only if there is $S \subseteq K$ such that at the end of the execution of the Color Algorithm $T_\beta(\alpha_\beta, S) = \text{TRUE}$.

Lemma (DDSS, 2020)

Let $G = (X \cup Y, E)$ be a connected convex bipartite graph, L be a color assignment for G . There is an L -coloring of G if and only if there is $S \subseteq K$ such that at the end of the execution of the Color Algorithm $T_\beta(\alpha_\beta, S) = \text{TRUE}$.

Theorem (DDSS, 2020)

- For $k \geq 3$, LI k -COL and k -PREXT on convex bipartite graphs can be solved in polynomial time.
- For any H , LI H -COL on convex bipartite graphs can be solved in polynomial time.

Open Problems

- What is the computational complexity of LI 3-COL when restricted to chordal bipartite graphs?

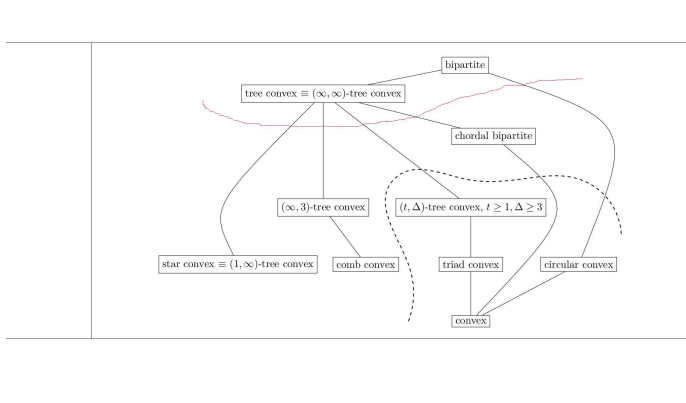


Figure -modified - from Brettel, Munaro, Paulusma 2020.

Open Problems

- What is the computational complexity of LI 3-COL when restricted to chordal bipartite graphs?
- .. LI k -COL for $k \geq 3$ when restricted to star convex graphs or to comb convex graphs?

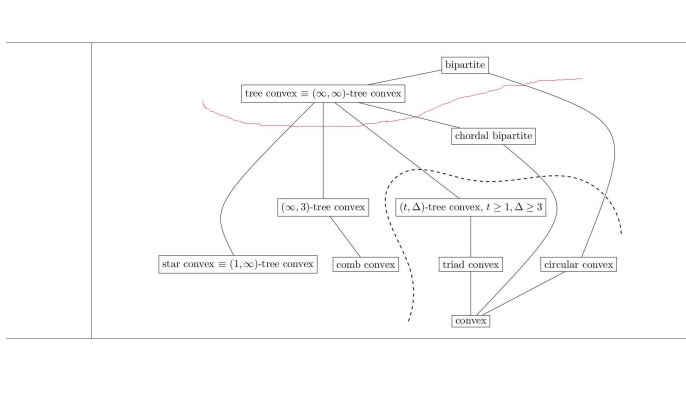


Figure -modified - from Brettel, Munaro, Paulusma 2020.

Thank you for your attention.